# 16.9 The Divergence Theorem, page 1141

In section 16.5, we have discussed the vector version of the following line integral

$$\int_{C} \mathbf{F} \cdot \mathbf{n} \, \mathrm{d}s = \iint_{D} \left( \frac{\partial P}{\partial x} + \frac{\partial Q}{\partial y} \right) \mathrm{d}A = \iint_{D} \mathrm{div} \, \mathbf{F} \, \mathrm{d}A,$$

where C is the positively oriented boundary curve of the plane region D. Here we will generalize this result to vector fields on  $\mathbb{R}^3$ , and this is called the *Divergence Theorem* (散度定理).



Figure 1: Normal line integral (left) and the Divergence Theorem (right).

**The Divergence Theorem** (page 1141). Let E be a simple solid region and let S be the boundary surface of E, given with positive outward orientation. Let  $\mathbf{F}$  be a vector field whose component functions have continuous partial derivatives on an open region that contains E. Then

$$\iint_{S} \mathbf{F} \cdot \mathrm{d}\mathbf{S} = \iiint_{E} \mathrm{div} \, \mathbf{F} \, \mathrm{d}V.$$

The Divergence Theorem relates the integral of a derivative of a function  $(\operatorname{div} \mathbf{F})$ over a region to the integral of the integral of the origin function  $\mathbf{F}$  over the boundary of the region.

**Example 1** (page 1143). Find the flux of the vector field  $\mathbf{F}(x, y, z) = z \mathbf{i} + y \mathbf{j} + x \mathbf{k}$ over the unit sphere  $x^2 + y^2 + z^2 = 1$ .

Solution.

**Example 2** (page 1143). Evaluate  $\iint_S \mathbf{F} \cdot d\mathbf{S}$ , where

 $\mathbf{F}(x, y, z) = xy \,\mathbf{i} + (y^2 + e^{xz^2}) \,\mathbf{j} + \sin(xy) \,\mathbf{k},$ 

and S is the surface of the region E bounded by the parabolic cylinder  $z = 1 - x^2$ and the planes z = 0, y = 0, and y + z = 2.

Solution.

Example 3. Let

$$\mathbf{F}(x,y,z) = \left(xy^2 + \sqrt{y^2 + z^4}\right)\mathbf{i} + \left(\tan^{-1}x + x^2y\right)\mathbf{j} + \left(\frac{z^3}{3} - e^{x^2 + y^2}\right)\mathbf{k}.$$

Find  $\iint_S \mathbf{F} \cdot d\mathbf{S}$ , where the surface S is the top half of the sphere  $x^2 + y^2 + z^2 = 1$  with the unit normal vectors pointing away from the origin.

### Solution.

**Example 4.** Let S be the sphere  $x^2 + y^2 + z^2 = 1$ .

- (a) Find a vector field such that  $\mathbf{F} \cdot \mathbf{n} = x^4 + y^4 + z^4$  on the sphere S.
- (b) Find  $\iint_{S} (x^4 + y^4 + z^4) \, \mathrm{d}S.$

### Solution.

## General version of the Divergence Theorem, page 1144

Let's consider the region E that lies between the closed surfaces  $S_1$  and  $S_2$ , where  $S_1$  lies inside  $S_2$ .



Figure 2: General version of the divergence theorem.

Let  $\mathbf{n}_1$  and  $\mathbf{n}_2$  be outward normals of  $S_1$  and  $S_2$ . Then the boundary surface of E is  $S = S_1 \cup S_2$  and its normal  $\mathbf{n}$  is given by  $\mathbf{n} = -\mathbf{n}_1$  or  $\mathbf{n} = \mathbf{n}_2$  on  $S_2$ . Applying the Divergence Theorem on S, we get

$$\iiint_E \operatorname{div} \mathbf{F} \mathrm{d}V = \iint_S \mathbf{F} \cdot \mathrm{d}\mathbf{S} = \iint_S \mathbf{F} \cdot \mathbf{n} \,\mathrm{d}S$$
$$= \iint_{S_1} \mathbf{F} \cdot (-\mathbf{n}_1) \,\mathrm{d}S + \iint_{S_2} \mathbf{F} \cdot \mathbf{n}_2 \,\mathrm{d}S = -\iint_{S_1} \mathbf{F} \cdot \mathrm{d}\mathbf{S} + \iint_{S_2} \mathbf{F} \cdot \mathrm{d}\mathbf{S}.$$

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**Example 5** (page 1144). Show that the electric flux of **E** through any closed surface  $S_2$  that encloses the origin is  $\iint_{S_2} \mathbf{E} \cdot d\mathbf{S} = 4\pi\varepsilon Q$ .

**Solution.** We let  $S_1$  be a small sphere with radius  $r_0$  and center the origin. For the electric field  $\mathbf{E}(\mathbf{x}) = \frac{\varepsilon Q}{|\mathbf{x}|^3} \mathbf{x}$ , we have div  $\mathbf{E} = 0$ . So

$$\iint_{S_2} \mathbf{E} \cdot \mathrm{d}\,\mathbf{S} = \iint_{S_1} \mathbf{E} \cdot \mathrm{d}\,\mathbf{S} + \iiint_E \mathrm{div}\,\mathbf{E}\,\mathrm{d}V = \iint_{S_1} \mathbf{E} \cdot \mathrm{d}\,\mathbf{S} = \iint_{S_1} \mathbf{E} \cdot \mathbf{n}\,\mathrm{d}S.$$

We can compute the surface integral over  $S_1$  because  $S_1$  is a sphere. The normal vector at  $\mathbf{x}$  is  $\frac{\mathbf{x}}{|\mathbf{x}|}$ . Therefore

$$\mathbf{E} \cdot \mathbf{n} = \frac{\varepsilon Q}{|\mathbf{x}|^3} \mathbf{x} \cdot \left(\frac{\mathbf{x}}{|\mathbf{x}|}\right) = \frac{\varepsilon Q}{|\mathbf{x}|^4} \mathbf{x} \cdot \mathbf{x} = \frac{\varepsilon Q}{|\mathbf{x}|^2} = \frac{\varepsilon Q}{r_0^2}$$

Thus we have

$$\iint_{S_2} \mathbf{E} \cdot \mathrm{d}\,\mathbf{S} = \iint_{S_1} \mathbf{E} \cdot \mathrm{d}\,\mathbf{S} = \frac{\varepsilon Q}{r_0^2} \iint_{S_1} 1 \,\mathrm{d}S = \frac{\varepsilon Q}{r_0^2} \operatorname{Area}(S_1) = \frac{\varepsilon Q}{r_0^2} 4\pi r_0^2 = 4\pi\varepsilon Q.$$

## Appendix, page 1141

Proof of the Divergence Theorem for simple solid regions. Let  $\mathbf{F} = P \mathbf{i} + Q \mathbf{j} + R \mathbf{k}$ . Then div  $\mathbf{F} = \frac{\partial P}{\partial x} + \frac{\partial Q}{\partial y} + \frac{\partial R}{\partial z}$ , so

$$\iiint_E \operatorname{div} \mathbf{F} \, \mathrm{d}V = \iiint_E \frac{\partial P}{\partial x} \, \mathrm{d}V + \iiint_E \frac{\partial Q}{\partial y} \, \mathrm{d}V + \iiint_E \frac{\partial R}{\partial z} \, \mathrm{d}V.$$

If  $\mathbf{n}$  is the unit outward normal of S, then we have

$$\iint_{S} \mathbf{F} \cdot d\mathbf{S} = \iint_{S} \mathbf{F} \cdot \mathbf{n} \, dS = \iint_{S} (P \, \mathbf{i} + Q \, \mathbf{j} + R \, \mathbf{k}) \cdot \mathbf{n} \, dS$$
$$= \iint_{S} P \, \mathbf{i} \cdot \mathbf{n} \, dS + Q \, \mathbf{j} \cdot \mathbf{n} \, dS + R \, \mathbf{k} \cdot \mathbf{n} \, dS.$$

So, to prove the Divergence Theorem, it suffices to prove the following equations:

$$\iint_{S} P \mathbf{i} \cdot \mathbf{n} \, \mathrm{d}S = \iiint_{E} \frac{\partial P}{\partial x} \, \mathrm{d}V, \qquad \iint_{S} Q \mathbf{j} \cdot \mathbf{n} \, \mathrm{d}S = \iiint_{E} \frac{\partial Q}{\partial y} \, \mathrm{d}V,$$
$$\iint_{S} R \mathbf{k} \cdot \mathbf{n} \, \mathrm{d}S = \iiint_{E} \frac{\partial R}{\partial z} \, \mathrm{d}V. \tag{1}$$

Here we only prove (1) and E is a type z region:

$$E = \{(x, y, z) | (x, y) \in D, z_1(x, y) \le z \le z_2(x, y)\},\$$

By the Fundamental Theorem of Calculus, we have

$$\iiint_E \frac{\partial R}{\partial z} \, \mathrm{d}V = \iint_D \left( \int_{z=z_1(x,y)}^{z=z_2(x,y)} \frac{\partial R}{\partial z}(x,y,z) \, \mathrm{d}z \right) \, \mathrm{d}A$$
$$= \iint_D (R(x,y,z_2(x,y)) - R(x,y,z_1(x,y))) \, \mathrm{d}A.$$

On the other hand, the boundary surface S consists of three pieces: the bottom surface  $S_1$ , the top surface  $S_2$ , and a vertical surface  $S_3$ , which lies above the boundary curve of D.



Figure 3: Proof of the Divergence Theorem.

We write

$$\iint_{S} R \,\mathbf{k} \cdot \mathbf{n} \,\mathrm{d}S = \iint_{S_1} R \,\mathbf{k} \cdot \mathbf{n} \,\mathrm{d}S + \iint_{S_2} R \,\mathbf{k} \cdot \mathbf{n} \,\mathrm{d}S + \iint_{S_3} R \,\mathbf{k} \cdot \mathbf{n} \,\mathrm{d}S$$

On  $S_3$ , we have  $\mathbf{k} \cdot \mathbf{n} = 0$ , because  $\mathbf{k}$  is vertical and  $\mathbf{n}$  is horizontal, and so

$$\iint_{S_3} R \,\mathbf{k} \cdot \mathbf{n} \,\mathrm{d}S = \iint_{S_3} 0 \,\mathrm{d}S = 0.$$

The equation of  $S_2$  is  $z = z_2(x, y), (x, y) \in D$ , and the outward normal **n** points upward, so we have

$$\iint_{S_2} R \,\mathbf{k} \cdot \mathbf{n} \,\mathrm{d}S = \iint_D R(x, y, z_2(x, y)) \,\mathrm{d}A.$$

On  $S_1$  we have  $z = z_1(x, y)$ , but here the outward normal **n** points downward, so we multiply by -1:

$$\iint_{S_1} R \,\mathbf{k} \cdot \mathbf{n} \,\mathrm{d}S = -\iint_D R(x, y, z_1(x, y)) \,\mathrm{d}A.$$

Therefore,

$$\iint_{S} R \mathbf{k} \cdot \mathbf{n} \, \mathrm{d}S = \iint_{D} (R(x, y, z_2(x, y)) - R(x, y, z_1(x, y))) \, \mathrm{d}A.$$