

## 4.3 How Derivatives Affect the Shape of a Graph (page 293)

**Increasing/Decreasing Test** (page 293).

- (a) If  $f'(x) > 0$  on an interval, then  $f$  is increasing on that interval.
- (b) If  $f'(x) < 0$  on an interval, then  $f$  is decreasing on that interval.

*Proof.*

(a) Let  $x_1 < x_2$ . By the \_\_\_\_\_, there is  $c \in (x_1, x_2)$  such that

(b) Let  $x_1 < x_2$ . By the \_\_\_\_\_, there is  $c \in (x_1, x_2)$  such that

□

**Example 1.** Find where the function  $f(x) = 3x^4 - 4x^3 - 12x^2 + 5$  is increasing and where it is decreasing.

**Solution.** We compute  $f'(x) =$

Solutions of  $f'(x) = 0$  are \_\_\_\_\_ . Hence

$f(x)$  is increasing on \_\_\_\_\_ ;  $f(x)$  is decreasing on \_\_\_\_\_ .

**The First Derivative Test** (page 294). Suppose that  $c$  is a critical number of a continuous function  $f$ .

- (a) If  $f'$  changes from positive to negative at  $c$ , then  $f$  has a local maximum at  $c$ .
- (b) If  $f'$  changes from negative to positive at  $c$ , then  $f$  has a local minimum at  $c$ .
- (c) If  $f'$  does not change sign at  $c$  (for example, if  $f'$  is positive on both side of  $c$  or negative on both sides), then  $f$  has no local maximum or minimum at  $c$ .

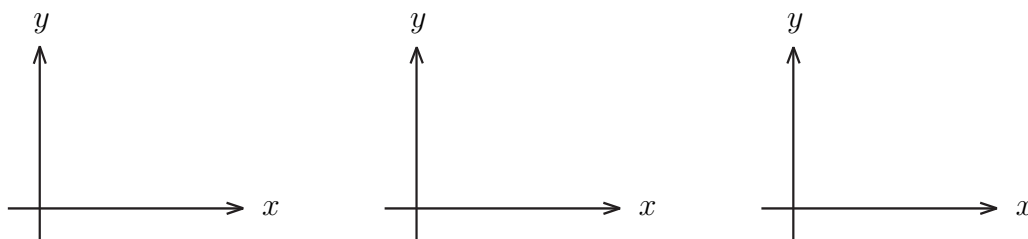


Figure 1: The First Derivative Test.

**Example 2.** Find the local minimum and maximum values of the function  $f(x) = 3x^4 - 4x^3 - 12x^2 + 5$  in **Example 1**.

**Solution.**

$x$	-1	0	2
$f$	0	5	-27
$f'$			

Hence  $f$  has local maximum \_\_\_\_\_;  $f$  has local minimum \_\_\_\_\_.

**Definition 3** (page 296). If the graph  $f$  lies above all of its tangents on an interval  $I$ , then it is called *concave upward* (凹口朝上) on  $I$ . If the graph  $f$  lies below all of its tangents on an interval  $I$ , then it is called *concave downward* (凹口朝下) on  $I$ .

□ 有些教科書或文獻使用凸函數 (convex) 取代凹口向上 (concave upward)。

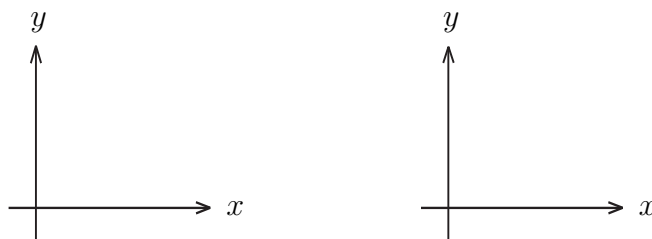


Figure 2: Concave upward and concave downward.

**Concavity Test** (page 296).

(a) If  $f''(x) > 0$  for all  $x$  in  $I$ , then the graph of  $f$  is concave upward on  $I$ .

(b) If  $f''(x) < 0$  for all  $x$  in  $I$ , then the graph of  $f$  is concave downward on  $I$ .

*Proof of (a).* Since  $f''(x) > 0$  in  $I$ , we know that  $f'(x)$  is increasing in  $I$ . Given  $x_0 \in I$ , the tangent line equation to the graph of  $f(x)$  at  $(x_0, f(x_0))$  is

$$y - f(x_0) = f'(x_0)(x - x_0) \Rightarrow y = f'(x_0)(x - x_0) + f(x_0).$$

We will show that  $f(x) \geq f'(x_0)(x - x_0) + f(x_0)$  for all  $x \in I$ .

Consider the function

$$F(x) = f(x) - f'(x_0)(x - x_0) - f(x_0) \quad \text{for } x \in I.$$

First, we know that  $F(x_0) = 0$ . Next, we compute  $F'(x) = f'(x) - f'(x_0)$ , which implies  $F'(x_0) = f'(x_0) - f'(x_0) = 0$ . Since  $F'(x) < 0$  for  $x < x_0$  and  $F'(x) > 0$  for  $x > x_0$ , we know that  $F(x_0)$  is a local (and hence absolute) minimum at  $x = x_0$  in  $I$ . That means  $F(x) \geq 0$  for all  $x \in I$ , thus  $f(x) \geq f'(x_0)(x - x_0) + f(x_0)$  for all  $x \in I$ . □

**Definition 4** (page 297). A point  $P$  on a curve  $y = f(x)$  is called an *inflection point* (反曲點) if  $f$  is continuous there and the curve changes from concave upward to concave downward or from concave downward to concave upward at  $P$ .

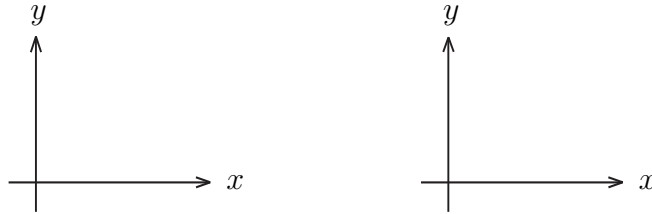


Figure 3: Inflection points.

**Example 5.** Find the concave upward and downward intervals, and inflection points of the function  $f(x) = 3x^4 - 4x^3 - 12x^2 + 5$  in **Example 1**. Sketch the graph of  $f$ .

**Solution.** We compute

$$f''(x) =$$

So

$x$	$-1$	$x_1$	$0$	$x_2$	$2$	
$f$	$0$	$f(x_1)$	$5$	$f(x_2)$	$-27$	
$f'$	$-$	$0$	$+$	$0$	$-$	$0$
$f''$						$+$

The points of inflections are \_\_\_\_\_.

$f$  is concave upward on \_\_\_\_\_.

$f$  is concave downward on \_\_\_\_\_.

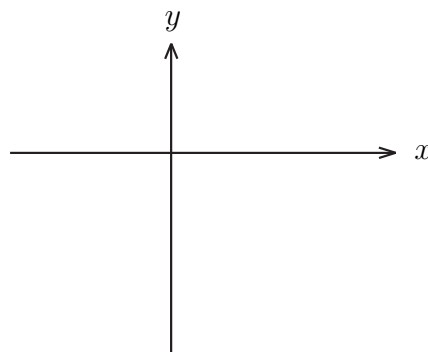


Figure 4: The graph of  $f(x) = 3x^4 - 4x^3 - 12x^2 + 5$ .

**The Second Derivative Test** (page 297). Suppose  $f''$  is continuous near  $c$ .

(a) If  $f'(c) = 0$  and  $f''(c) > 0$ , then  $f$  has a local minimum at  $c$ .

(b) If  $f'(c) = 0$  and  $f''(c) < 0$ , then  $f$  has a local maximum at  $c$ .

**Example 6.** Show that  $f(x) = \frac{\sin x}{x}$  is decreasing on  $(0, \frac{\pi}{2})$ .

**Solution.**

□ 比較 Section 2.3, 那時候證明了  $|\sin x| \leq |x|$ 。

**Example 7.** Classify all cubic functions  $f(x) = ax^3 + bx^2 + cx + d$ .

**Solution.**